



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

## **MEMORANDUM**

TO: The Commission

FROM: Lisa J. Stevenson *LJS*  
Acting General Counsel

Lorenzo Holloway *LH*  
Assistant General Counsel  
Compliance Advice

Joshua Blume *JB*  
Attorney

SUBJECT: Debt Settlement Plan #17-02  
Friends of Mark Neumann, Inc. (C00501361)

### **I. FRIENDS OF MARK NEUMANN, INC. SEEKS COMMISSION APPROVAL OF DEBT SETTLEMENT PLAN**

Friends of Mark Neumann, Inc. (the “Committee”) has submitted a debt settlement plan (“DSP” or “plan”) for the Commission’s approval.<sup>1</sup> Part I of the DSP reports that the Committee owes a total amount of \$279,073.71 to five creditors. *See* Attachment 1, at 2. In Part II of the

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<sup>1</sup> The Committee originally submitted its revised debt settlement plan on May 16, 2014. *See* Attachment 1. We asked the Committee to provide additional information in a letter, and through telephone and e-mail correspondence. In particular, we had asked one question regarding the creditors’ assertion that they had previously extended credit to the Committee, to ascertain whether the requirements of 11 C.F.R. § 116.3(c)(2) were satisfied. *See* footnote 4, *infra*. In an e-mail dated September 25, 2014, the Committee indicated that it lacked first-hand knowledge of the circumstances and that it would require many hours to accurately answer the question. It expressed a desire to withdraw its request for approval of the DSP if the Committee could not be terminated without an answer to the question. We therefore considered the matter suspended and closed the file. On December 23, 2016, the Committee sent a letter addressed to the Secretary of the Senate providing a response to the question. We, however, were not aware of the existence of this letter until the Committee sent to us an e-mail inquiry in the beginning of April 2017. Following additional correspondence with the Committee in that month, we reopened the matter.

DSP, the five creditors would forgive nearly all of the Committee’s outstanding debt.<sup>2</sup> The Office of General Counsel has reviewed the plan and recommends that the Commission approve it. The reasoning in support of our recommendation is set forth below.

**II. THE COMMISSION SHOULD APPROVE THE DEBT SETTLEMENT PLAN BECAUSE IT WOULD NOT RESULT IN EXCESSIVE OR PROHIBITED CONTRIBUTIONS**

The Committee’s plan is summarized in the following chart, which identifies the five creditors listed in Part II of the plan, itemizes the amounts owed, the amounts proposed to be paid, the amounts proposed to be forgiven and the percentage of the amounts proposed to be forgiven:

<b>Creditor</b>	<b>Amount Owed</b>	<b>Amount to be Paid</b>	<b>Amount to be Forgiven</b>	<b>Percentage to be Forgiven</b>
Century Data Systems Corp.	\$22,480.72	0	\$22,480.72	100%
Base Connect, Inc.	\$122,281.62	0	\$122,281.62	100%
Legacy Lists, Inc. - Brokerage	\$61,603.24	0	\$61,603.24	100%
Legacy Lists, Inc. Management	\$18,299.65	\$328.71 <sup>3</sup>	\$17,970.94	98.2%
Consolidated Mailing Services	\$54,408.40	0	\$54,408.40	100%
<b>Totals</b>	<b>\$279,073.71</b>	<b>\$328.71</b>	<b>\$278,744.92</b>	<b>99.9%</b>

The Commission reviews debt settlement plans to ensure that neither excessive nor prohibited contributions result from the creation and settlement of the committee’s debts. *See* Debts, 55 Fed. Reg. 26378 (Jun. 27, 1990). Section 116.7(f) sets forth six factors the Commission considers in reviewing debt settlement plans: (1) information provided by the Committee and its creditors; (2) the amount of each debt that remains unpaid and the length of time each debt has been overdue; (3) the amount and percentage of each debt that would be forgiven under the plan; (4) the total amount of debts and obligations owed by the terminating committee to all creditors, compared to the total amount of cash on hand and other amounts available to pay those debts and obligations; (5) the year-to-date expenditures and receipts of the terminating committee; and (6) whether the total percentage that was or will be repaid on any

<sup>2</sup> The Committee reports having remaining cash on hand of \$328.71. It proposes to pay this amount to one of the creditors, Legacy Lists Management.

<sup>3</sup> The creditor information sheet for this creditor in Part II of the DSP indicates that the Committee does not plan to pay any amount in settlement. However, the Committee indicates in Part III of the DSP, in which it lists again the creditors in Part II of the DSP, that it plans to pay its remaining cash on hand to this creditor. In a letter to this office, the Committee states its intent to pay its remaining cash on hand to this creditor “when [it is] allowed to close the account”. Attachment 2, at 2.

loans made by the candidate to the terminating committee is comparable to the total percentage that was or will be paid to other creditors. 11 C.F.R. § 116.7(f)(1)-(6).

Application of Factors. The first factor requires the Committee to submit information in support of the debt settlement plan. 11 C.F.R. § 116.7(f)(1). The supporting information must include information about the origination and satisfaction of the debt. This includes information about: 1) the terms of the initial extension of credit,<sup>4</sup> 2) the candidate's or the committee's efforts to satisfy the debts, and 3) the creditor's use of remedies to satisfy the debt. 11 C.F.R. § 116.7(e)(1)(i)-(iii).

The Committee submitted information in support of the DSP. The plan sets forth the nature of the debts the Committee seeks to settle and the terms of the initial extensions of credit. In this case, all of the debts are described as having been incurred for fundraising services, and payment of the bills for these services was due within 90 days of the date on which the creditors issued the invoices. 11 C.F.R. § 116.7(e)(1)(i). The DSP also describes the efforts the Committee made to satisfy the debt. The Committee attempted to raise funds after the Candidate's loss of the primary election for up to six months after the election. 11 C.F.R. § 116.7(e)(1)(ii). Finally, the DSP describes the efforts made by the creditors to collect the debts. All five creditors sent invoices to the Committee and, following the primary election, had conversations with the Committee to decide what steps the Committee would take to collect contributions to pay the debts, and the frequency of these conversations diminished over time as the Committee received fewer contributions. *See* Attachment 2, at 2. 11 C.F.R. § 116.7(e)(1)(iii).

A debt settlement plan must also include information about the terms of the settlement and a comparison of those terms to the terms of any settlements that the creditor has entered into with other nonpolitical debtors. 11 C.F.R. § 116.7(e)(1)(iv). All five creditors signed the creditor sections of the DSP, and each affirmed that the efforts they made to collect the debts were similar to their collection efforts against nonpolitical debtors. However, they each denied that the terms of their settlements were comparable to the terms of their settlements with other nonpolitical debtors. The creditors explained that the terms were not comparable because the customer usually offered some payment in the other settlements. The creditors stated that they agreed to depart from their customary settlement practices because their nonpolitical debtors usually had assets or income available from which to obtain payment, whereas the Committee

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<sup>4</sup> The five creditors indicated in correspondence with this office that they previously extended credit to the Committee. *See* Attachment 2, at 3; Attachment 3. This is potentially significant because one factor the Commission considers in evaluating the initial extension of credit is whether the creditor extended credit to a committee or the committee's authorizing candidate in the past and, if so, whether the committee made prompt payments. 11 C.F.R. § 116.3(c)(2). The Committee states, however, that it lacks the information to respond to our question based on this criterion, because one creditor, Base Connect, acted as principal contractor to the Committee while the other creditors acted as subcontractors to Base Connect and Base Connect, rather than the Committee, managed the bank account from which it made payments to the subcontractors. Under the Committee's theory, it might be the case under applicable State law that Base Connect, rather than the Committee, would have been responsible for any debts owed to the subcontractors. The committee, however, has consistently reported that it owes the debts in question. *See* Advisory Opinion 1989-02 (Baker) (State law governs question of which persons are responsible for paying a debt).

has neither assets nor income, and thus there is no means of obtaining payment from the Committee. Attachment 2, at 3; Attachment 3. In our view, the creditors have provided a reasonable explanation for that departure in terms of the absence of any Committee assets or resources that could be used to satisfy the debts. The Committee has indicated that it attempted to raise funds to pay the debts for a period of up to six months after the primary election without success, and given that the Candidate lost the primary election, further attempts to raise funds at this juncture would probably not be successful.

With respect to the second factor, the amount of debt that remains unpaid and the length of time that the debt has remained overdue, the DSP reports that the debts were incurred in December 2012,<sup>5</sup> and have thus been extant for a period of approximately four years. The Committee's disclosure reports indicate that it originally incurred larger debt amounts with two of its creditors, Legacy Lists - Brokerage and Consolidated Mailing Services, and made prior payments that reduced the outstanding balances on those debts to the levels reflected in the DSP. 11 C.F.R. § 116.7(f)(2). In the case of Legacy Lists, the Committee's debt was originally \$65,127.68, reduced now to \$61,603.24, and in the case of Consolidated Mailing Services, the debt at its largest amount was \$86,343.83, now reduced to \$54,408.40.<sup>6</sup> Thus, although the DSP proposes complete debt forgiveness of the current outstanding debt amounts by all of the creditors, in the case of the two aforementioned creditors, the Committee has made previous payments, which in the case of Consolidated Mailing Services were fairly substantial. We believe that this is an additional consideration militating in favor of approval of the DSP.

As to the third factor, the amount and percentage of each debt that would be forgiven under the plan, the relevant information is summarized in the chart above. 11 C.F.R. § 116.7(f)(3). As the chart notes, the DSP contemplates complete forgiveness of debt by four creditors and nearly complete forgiveness by the fifth creditor. We do not believe that the DSP's contemplation of complete creditor forgiveness of the outstanding debts precludes the Commission from approving the DSP. The Commission's debt settlement regulations do not require that a terminating committee pay a specific settlement amount, and the Commission has previously approved debt settlement plans in which committees proposed 100% debt forgiveness for creditors. *See* Jim Gilmore for President, DSP #10-01 (Commission approved the terminating committee's proposed settlements with its different creditors in percentage amounts ranging from 53% to 100% of the debt); David Davis Victory Fund, DSP # 16-01 (Commission approved the terminating committee's proposed settlements with its three creditors in percentage amounts of 99.8% and 100%).

Analysis of the fourth factor shows that the total amount of debts (\$279,073.71) exceeds the Committee's available cash on hand, which is \$328.71 as of March 31, 2017, according to

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<sup>5</sup> The Committee's disclosure reports indicate that the debts were incurred slightly earlier than this, during the period of time subsumed by the Committee's 2012 October Quarterly Report. *See* [Friends of Mark Neumann, Inc., October Quarterly Report, FEC Form 3, at 923 \(Oct. 15, 2012\)](#).

<sup>6</sup> *See* [Friends of Mark Neumann, Inc., Year-End Report, FEC Form 3, at 145-146 \(Jan. 31, 2013\)](#); [Friends of Mark Neumann, Inc., October Quarterly Report, FEC Form 3, at 923 \(Oct. 15, 2012\)](#).

the Committee's most recently filed disclosure report,<sup>7</sup> and the Committee has no remaining assets to liquidate. 11 C.F.R. § 116.7(f)(4).

With respect to factor five, the Committee's disclosure reports indicate that it had no receipts and made no disbursements in 2016 and the first quarter of 2017.<sup>8</sup> 11 C.F.R. § 116.7(f)(5).

Finally, factor six is not implicated by this DSP, because the Candidate is not currently a creditor. 11 C.F.R. §116.7(f)(6).

Conclusion. We conclude that the Committee's debt settlements with the five creditors listed in Part II of the plan would not result in excessive or prohibited contributions. 11 C.F.R. § 116.7(f); *see* Debts, 55 Fed. Reg. 26378 (Jun. 27, 1990). We, therefore, recommend that the Commission approve the debt settlement plan.

### **III. RECOMMENDATIONS**

1. Approve the debt settlement plan filed by Friends of Mark Neumann, Inc.;
2. Approve the appropriate letter; and
3. Close the file.

#### Attachments:

1. Amended Debt Settlement Plan of Friends of Mark Neumann, Inc. (May 16, 2014) and Memorandum from Patricia C. Orrock to Lisa J. Stevenson, Referral of Friends of Mark Neumann, Inc.– Debt Settlement Plan (June 3, 2014).
2. Letter from Kelly O'Donnell, Co-Treasurer, Friends of Mark Neumann, Inc., dated July 14, 2014, with attachments.
3. Letter from Larry Patrick to Kelly O'Donnell, dated August 6, 2014.

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<sup>7</sup> See [Friends of Mark Neumann, Inc., April Quarterly Report, FEC Form 3, at 2 \(Apr. 3, 2017\)](#)

<sup>8</sup> In each of its reports filed in 2016 and in the first quarter of 2017, the Committee reports election cycle to date receipts and disbursements of approximately \$57,000. However, each of the reports discloses no receipts and no disbursements during the period subsumed by the report.

Friends of Mark Neumann, Inc.  
W330 N6233 Hasslinger Drive  
Nashotah, WI 53058  
262.966.1001

SECRETARY OF THE SENATE

'14 MAY 16 PM 3:29

May 7, 2014

Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington, DC 20510

To Whom It May Concern,

I am writing on behalf of Friends of Mark Neumann committee. As suggested in your March 7, 2014 letter I believe we have gathered everything that you requested to close this committee.

As we previously stated in January, the candidate, Mark Neumann, forgave a large amount of debt to this campaign understanding he would not be able to raise the funds necessary to pay this money back.

The vendors understand we are asking to close this committee and that they will not be paid if the committee is closed. They do not object as they know we have done everything in our power to raise the money.

After we lost the primary in August of 2012 we did work extensively for about 6-8 months with the companies that are owed to raise money. We feel at this point, over a year and a half later, that we have exhausted all avenues available to us. We respectfully request that this committee be closed.

Thank you,



Kelly O'Donnell  
Co-Treasurer

Encl.

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ATTACHMENT 1  
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ATTACHMENT

# DEBT SETTLEMENT PLAN

SECRETARY OF THE SENATE

14 MAY 16 PM 3:29

NAME OF COMMITTEE <i>Friends of Mark Neumann, Inc.</i>	
ADDRESS <i>W330 N6233 Hasslinger Dr.</i>	
CITY, STATE AND ZIP CODE <i>Nashotah, WI 53058</i>	FEC I.D. NUMBER <i>000501361</i>

**PART I — COMMITTEE SUMMARY INFORMATION**

1. CASH ON HAND AS OF <i>5-1-14</i>	<i>328.71</i>	6. TOTAL AMOUNT OF DEBTS OWED BY THE COMMITTEE	<i>279,073.71</i>
2. TOTAL ASSETS TO BE LIQUIDATED	<i>0</i>	7. TOTAL NUMBER OF CREDITORS OWED	<i>5</i>
3. TOTAL (ADD 1 AND 2)	<i>328.71</i>	8. NUMBER OF CREDITORS IN PART II OF THIS PLAN	<i>5</i>
4. YEAR TO DATE RECEIPTS	<i>0</i>	9. TOTAL AMOUNT OF DEBTS OWED TO THE CREDITORS IN PART II OF THIS PLAN	<i>279,073.71</i>
5. YEAR TO DATE DISBURSEMENTS	<i>0</i>	10. TOTAL AMOUNT TO BE PAID TO CREDITORS IN PART II OF THIS PLAN	<i>328.71</i>

11. IS THE COMMITTEE TERMINATING ITS ACTIVITIES?  
 YES  NO IF YES, WHEN DOES THE COMMITTEE EXPECT TO FILE A TERMINATION REPORT? IF NO, COMMITTEE IS NOT ELIGIBLE TO FILE A DEBT SETTLEMENT PLAN (SEE INSTRUCTIONS).

*As soon as possible.*

12. IF THIS IS AN AUTHORIZED COMMITTEE, DOES THE CANDIDATE HAVE OTHER AUTHORIZED COMMITTEES?  
 YES  NO IF YES, LIST BELOW.

13. DOES THE COMMITTEE HAVE SUFFICIENT FUNDS TO PAY THE TOTAL AMOUNT INDICATED IN THIS PLAN?  
 YES  NO IF NO, WHAT STEPS WILL BE TAKEN TO OBTAIN THE FUNDS?

*See attached letter*

14. HAS THE COMMITTEE FILED PREVIOUS DEBT SETTLEMENT PLANS?  
 YES  NO

15. AFTER DISPOSING OF ALL THE COMMITTEE'S DEBTS AND OBLIGATIONS, WILL THERE BE ANY RESIDUAL FUNDS?  
 YES  NO IF YES, HOW WILL THE FUNDS BE DISBURSED?

I certify, to the best of my knowledge, that the information contained in this Debt Settlement Plan is true, correct and complete.

SIGNATURE OF TREASURER OF COMMITTEE <i>Kelly O'Donnell</i>	DATE <i>5-1-14</i>
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**FEC FORM 8**  
(Revised 1/2001)

ATTACHMENT  
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# DEBT SETTLEMENT PLAN

PART II

NAME OF COMMITTEE <i>Friends of Mark Neumann, Inc.</i>	FEC ID. NUMBER <i>C005013101</i>	PAGE <i>2</i>	OF <i>7</i>
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**CREDITOR SUMMARY INFORMATION**  
(FILL OUT FOR EACH CREDITOR IN PLAN)

FULL NAME AND MAILING ADDRESS OF CREDITOR <i>Century Data Systems Corp. 1155 15th St NW Suite 410 Washington, DC 20005</i>	DATE INCURRED <i>12/12</i>	AMOUNT OWED TO CREDITOR <i>\$4,480.00</i>	AMOUNT OFFERED IN SETTLEMENT <i>Ø</i>
TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL			

**A. TERMS OF THE INITIAL EXTENSION OF CREDIT AND NATURE OF THE DEBT**

*Terms offered were 90 days and the debt is for fundraising expenses.*

**B. EFFORTS MADE BY THE COMMITTEE TO PAY THE DEBT**

*Work to raise money after primary loss to pay down/off debt by mailing donors for up to six months after loss*

**C. STEPS TAKEN BY THE CREDITOR TO COLLECT THE DEBT**

*Work to raise money after primary loss to pay down/off debt by mailing donors for up to six months after loss.*

**CREDITOR SECTION**  
(TO BE FILLED OUT BY CREDITOR)

**D. WAS THE EFFORT MADE BY THE CREDITOR TO COLLECT THE DEBT SIMILAR TO OTHER DEBT COLLECTION EFFORTS AGAINST NONPOLITICAL DEBTORS?**

YES     NO    IF NO, PLEASE EXPLAIN

**E. ARE THE TERMS OF THE DEBT SETTLEMENT COMPARABLE TO OTHER SETTLEMENTS MADE BY THE CREDITOR WITH OTHER NONPOLITICAL DEBTORS?**

YES     NO    IF NO, PLEASE EXPLAIN

*Customer usually offers some payment*

As a representative of the creditor, I hereby accept the settlement offer made to me by the committee and upon payment agree to consider the debt satisfied (or attach a copy of the signed settlement).

SIGNATURE OF CREDITOR OR REPRESENTATIVE



DATE

*4/3/14*

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ATTACHMENT 1

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14020360066



# DEBT SETTLEMENT PLAN

PART II

NAME OF COMMITTEE <i>Friends of Mark Neumann, Inc</i>	FEC I.D. NUMBER <i>C00501301</i>	PAGE <i>3</i>	OF <i>7</i>
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**CREDITOR SUMMARY INFORMATION**  
(FILL OUT FOR EACH CREDITOR IN PLAN)

FULL NAME AND MAILING ADDRESS OF CREDITOR <i>Base Connect, Inc 1155 15th St NW Suite 410 Washington, DC 20005</i>	DATE INCURRED <i>12/12</i>	AMOUNT OWED TO CREDITOR <i>122,281<sup>60</sup></i>	AMOUNT OFFERED IN SETTLEMENT <i>0</i>
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TYPE OF CREDITOR:

INCORPORATED COMMERCIAL VENDOR     UNINCORPORATED COMMERCIAL VENDOR     CANDIDATE     COMMITTEE EMPLOYEE     OTHER INDIVIDUAL

**A. TERMS OF THE INITIAL EXTENSION OF CREDIT AND NATURE OF THE DEBT**

*Terms offered were 90 days and the debt is for fundraising expenses*

**B. EFFORTS MADE BY THE COMMITTEE TO PAY THE DEBT**

*Work to raise money after primary loss to pay down/off debt by mailing donors for up to six months.*

**C. STEPS TAKEN BY THE CREDITOR TO COLLECT THE DEBT**

*Work to raise money after primary loss to pay down/off debt by mailing donors for up to six months*

**CREDITOR SECTION**  
(TO BE FILLED OUT BY CREDITOR)

**D. WAS THE EFFORT MADE BY THE CREDITOR TO COLLECT THE DEBT SIMILAR TO OTHER DEBT COLLECTION EFFORTS AGAINST NONPOLITICAL DEBTORS?**

YES     NO    IF NO, PLEASE EXPLAIN

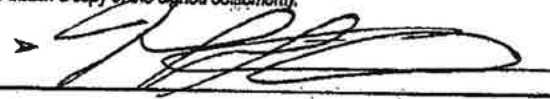
**E. ARE THE TERMS OF THE DEBT SETTLEMENT COMPARABLE TO OTHER SETTLEMENTS MADE BY THE CREDITOR WITH OTHER NONPOLITICAL DEBTORS?**

YES     NO    IF NO, PLEASE EXPLAIN

*Customer usually offers some payment.*

As a representative of the creditor, I hereby accept the settlement offer made to me by the committee and upon payment agree to consider the debt satisfied (or attach a copy of the signed settlement).

SIGNATURE OF CREDITOR OR REPRESENTATIVE



DATE

*4/8/14*

14020360067

ATTACHMENT 1

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DEBT SETTLEMENT PLAN

PART B

NAME OF COMMITTEE <i>Friends of Mark Neumann Inc.</i>	FEC ID NUMBER <i>C005013661</i>	PAGE <i>4</i>	OF <i>7</i>
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CREDITOR SUMMARY INFORMATION  
(FILL OUT FOR EACH CREDITOR IN PLAN)

FULL NAME AND MAILING ADDRESS OF CREDITOR <i>Legacy Lists Inc. - Brokerage 1155 15th St NW Suite 410 Washington, DC 20005</i>	DATE INCURRED	AMOUNT OWED TO CREDITOR	AMOUNT OFFERED IN SETTLEMENT
	<i>6/12</i>	<i>661,603.24</i>	<i>0</i>

TYPE OF CREDITOR:  
 INCORPORATED COMMERCIAL VENDOR   
 UNINCORPORATED COMMERCIAL VENDOR   
 CANDIDATE   
 COMMITTEE EMPLOYEE   
 OTHER INDIVIDUAL

A. TERMS OF THE INITIAL EXTENSION OF CREDIT AND NATURE OF THE DEBT

*Terms offered were 90 days and the debt is for fundraising expenses.*

B. EFFORTS MADE BY THE COMMITTEE TO PAY THE DEBT

*Work to raise money after primary loss to pay down/off debt by mailing donors up to six months after loss*

C. STEPS TAKEN BY THE CREDITOR TO COLLECT THE DEBT

*Work to raise money after primary loss to pay down/off debt by mailing donors up to six months after loss.*

CREDITOR SECTION  
(TO BE FILLED OUT BY CREDITOR)

D. WAS THE EFFORT MADE BY THE CREDITOR TO COLLECT THE DEBT SIMILAR TO OTHER DEBT COLLECTION EFFORTS AGAINST NONPOLITICAL DEBTORS?

YES     NO    IF NO, PLEASE EXPLAIN

E. ARE THE TERMS OF THE DEBT SETTLEMENT COMPARABLE TO OTHER SETTLEMENTS MADE BY THE CREDITOR WITH OTHER NONPOLITICAL DEBTORS?

YES     NO    IF NO, PLEASE EXPLAIN

*Customer usually offers some payment*

As a representative of the creditor, I hereby accept the settlement offer made to me by the committee and upon payment agree to consider the debt satisfied (or attach a copy of the signed settlement).

SIGNATURE OF CREDITOR OR REPRESENTATIVE 

DATE *4/8/14*

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DEBT SETTLEMENT PLAN

PART II

NAME OF COMMITTEE Friends of Mark Naumann, Inc.	FEC ID. NUMBER C00571361	PAGE 5	OF 7
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CREDITOR SUMMARY INFORMATION  
(FILL OUT FOR EACH CREDITOR IN PLAN)

FULL NAME AND MAILING ADDRESS OF CREDITOR Legacy Lists, Inc. Management 1155 45th St. New Suite 410 Washington, DC 20005 TYPE OF CREDITOR: <input type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL	DATE INCURRED	AMOUNT OWED TO CREDITOR	AMOUNT OFFERED IN SETTLEMENT
	12/12	18,299.65	Ø

A. TERMS OF THE INITIAL EXTENSION OF CREDIT AND NATURE OF THE DEBT

Terms offered were 90 days and the debt is for fundraising expenses.

B. EFFORTS MADE BY THE COMMITTEE TO PAY THE DEBT

Work to raise money after primary loss to pay down/off debt by mailing donars for up to six months after loss.

C. STEPS TAKEN BY THE CREDITOR TO COLLECT THE DEBT

Work to raise money after primary loss to pay down/off debt by mailing donars for up to six months after loss.

CREDITOR SECTION  
(TO BE FILLED OUT BY CREDITOR)

D. WAS THE EFFORT MADE BY THE CREDITOR TO COLLECT THE DEBT SIMILAR TO OTHER DEBT COLLECTION EFFORTS AGAINST NONPOLITICAL DEBTORS?  
 YES  NO IF NO, PLEASE EXPLAIN

E. ARE THE TERMS OF THE DEBT SETTLEMENT COMPARABLE TO OTHER SETTLEMENTS MADE BY THE CREDITOR WITH OTHER NONPOLITICAL DEBTORS?  
 YES  NO IF NO, PLEASE EXPLAIN

Customer usually offers some payment

As a representative of the creditor, I hereby accept the settlement offer made to me by the committee and upon payment agree to consider the debt satisfied (or attach a copy of the signed settlement).

SIGNATURE OF CREDITOR OR REPRESENTATIVE

DATE 4/8/14

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14020360069

# DEBT SETTLEMENT PLAN

PART II

<small>NAME OF COMMITTEE</small> Friends of Mark Neumann, Inc.	<small>FEC/LD NUMBER</small> C0050136	<small>PAGE</small> 6	<small>OF</small> 7
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**CREDITOR SUMMARY INFORMATION**  
(FILL OUT FOR EACH CREDITOR IN PLAN)

<small>FULL NAME AND MAILING ADDRESS OF CREDITOR</small> Consolidated Mailing Services 504 Shaw Rd Suite 206 Sterling, VA 20166	<small>DATE INCURRED</small> 12/12	<small>AMOUNT OWED TO CREDITOR</small> \$1,408.40	<small>AMOUNT OFFERED IN SETTLEMENT</small> 0
<small>TYPE OF CREDITOR:</small>			
<input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR	<input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR	<input type="checkbox"/> CANDIDATE	<input type="checkbox"/> COMMITTEE EMPLOYEE
<input type="checkbox"/> OTHER INDIVIDUAL			

**A. TERMS OF THE INITIAL EXTENSION OF CREDIT AND NATURE OF THE DEBT**  
 Terms offered were 90 days and the debt is for fundraising expenses.

**B. EFFORTS MADE BY THE COMMITTEE TO PAY THE DEBT**  
 Work to raise money after primary loss to pay down/off debt by mailing donors up to 6 months after loss

**C. STEPS TAKEN BY THE CREDITOR TO COLLECT THE DEBT**  
 Work to raise money after primary loss to pay down/off debt by mailing donors up to 6 months after loss

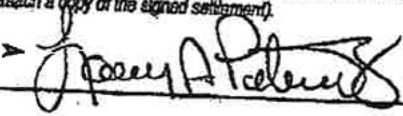
**CREDITOR SECTION**  
(TO BE FILLED OUT BY CREDITOR)

**D. WAS THE EFFORT MADE BY THE CREDITOR TO COLLECT THE DEBT SIMILAR TO OTHER DEBT COLLECTION EFFORTS AGAINST NONPOLITICAL DEBTORS?**  
 YES  NO IF NO, PLEASE EXPLAIN

**E. ARE THE TERMS OF THE DEBT SETTLEMENT COMPARABLE TO OTHER SETTLEMENTS MADE BY THE CREDITOR WITH OTHER NONPOLITICAL DEBTORS?**  
 YES  NO IF NO, PLEASE EXPLAIN

Customer usually offers some payment

As a representative of the creditor, I hereby accept the settlement offer made to me by the committee and upon payment agree to consider the debt satisfied (or attach a copy of the signed settlement).

SIGNATURE OF CREDITOR OR REPRESENTATIVE  DATE  
4/21/14

1402036070

# DEBT SETTLEMENT PLAN

PART III

NAME OF COMMITTEE <b>Friends of Mark Neumann, Inc</b>	FEC I.D. NUMBER <b>C00503601</b>	PAGE <b>7</b>	OF <b>7</b>
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## LIST REMAINING DEBTS

A. FULL NAME, MAILING ADDRESS AND ZIP CODE OF CREDITOR	AMOUNT OWED TO CREDITOR	AMOUNT EXPECTED TO PAY/OFFER
<b>Base Connect, Inc 1155 15th St NW St 410 Washington DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>122,281.62</b>	<b>0</b>
<b>Century Data Systems Corp 1155 15th St NW St. 410 Washington DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>22,480.72</b>	<b>0</b>
<b>Consolidated Mailing Services 504 Shaw Rd Suite 204 Sterling, VA 20164</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>54,408.48</b>	<b>0</b>
<b>Legacy Lists, Inc. Brokerage 1155 15th St NW St 410 Washington DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>61,603.24</b>	<b>0</b>
<b>Legacy Lists, Management 1155 15th St NW St 410 Washington, DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>18,299.15</b>	<b>328.71</b>

DOES THE COMMITTEE HAVE SUFFICIENT FUNDS TO PAY THE REMAINING AMOUNTS TO BE PAID OR OFFERED?

YES  NO IF NO, WHAT STEPS WILL BE TAKEN TO OBTAIN THE FUNDS?

**See attached letter**

ATTACHMENT 1  
Page 8 of 36

14020360071



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

June 3, 2014

**MEMORANDUM**

TO: Lisa J. Stevenson  
Deputy – General Counsel

Lorenzo Holloway  
Assistant General Counsel

THROUGH: Alec Palmer  
Staff Director

FROM: Patricia C. Orrock *PCO*  
Chief Compliance Officer

Debbie Chacona *DC*  
Assistant Staff Director  
Reports Analysis Division

BY: Kristin Roser/Chris Ritchie *KR CP*  
Reports Analysis Division

SUBJECT: Referral of Friends of Mark Neumann, Inc. – Debt Settlement Plan

Attached is a debt settlement plan (DSP) originally filed by Friends of Mark Neumann, Inc. (C00501361) on May 16, 2014.

The total amount of non-candidate debt owed by the Committee, as disclosed in the 2014 April Quarterly Report, filed on April 2, 2014, is \$279,073.71. According to the 2013-2014 *RAD Review and Referral Procedures for Authorized Committees (Standard 19)*, all DSPs seeking to settle debts greater than [REDACTED] require further examination by your office.

All the information provided by the Committee within the DSP has been reviewed for accuracy. If you have any questions regarding this referral, please contact Chris Ritchie at 694-1146.

Attachments

ATTACHMENT 1  
Page 12 of 36

O-Index (2013-2014)																
Cmte. ID: C00501361 Cmte. Name: FRIENDS OF MARK NEUMANN INC																
Treasurer Name: JACK VOIGHT Address: W330N6233 HASSLINGER DRIVE, NASHOTAH, WI 53058																
Cmte. Type: S (SENATE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER																
Form Tp	Rpt Tp	AI	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans	
MS-B			2/25/2014	1	1433004683											
MS-T			2/25/2014	4	14020163645											
MS-E			3/7/2014	2	14330046857											
MS-B			5/21/2014	1	14330052534											
RQ2	Q1	N	5/21/2013	4	13330032132	1/1/2013	3/31/2013	5/21/2013								
F3	Q1	N	4/18/2013	141	13020210655	1/1/2013	3/31/2013		\$7,315	\$34,785	\$31,093	\$11,007	\$284,946	\$250,000		\$534,269
F3	Q1	A	9/13/2013	11	13020404137	1/1/2013	3/31/2013		\$7,315	\$34,785	\$31,093	\$11,007	\$284,946	\$250,000		\$534,269
F3	Q2	N	7/12/2013	21	13020283047	4/1/2013	6/30/2013		\$11,007	\$7,608	\$9,122	\$9,493	\$284,290	\$0		\$284,357
F3	Q2	A	9/13/2013	10	13020404148	4/1/2013	6/30/2013		\$11,007	\$7,608	\$9,122	\$9,493	\$284,290	\$0		\$284,357
F3	Q3	N	10/3/2013	12	13020503941	7/1/2013	9/30/2013		\$9,493	\$5	\$731	\$8,766	\$568,808			\$284,404
F3	YE	N	1/22/2014	22	14020014914	10/1/2013	12/31/2013		\$8,793	\$1,795	\$10,269	\$328	\$279,073			\$279,073
F3	Q1	N	4/2/2014	10	14020174728	1/1/2014	3/31/2014		\$328	\$0	\$0	\$328	\$558,147			\$279,073
F8		N	2/5/2014	7	14020151359											
F8		N	5/16/2014	11	14020360064											
F1		A	9/13/2013	7	13020404130											
<b>Totals</b>										\$44,193	\$51,205					

O-Index (2011-2012)																
Cmte. ID: C00501361 Cmte. Name: FRIENDS OF MARK NEUMANN INC																
Treasurer Name: JACK VOIGHT Address: W330N6233 HASSLINGER DRIVE, NASHOTAH, WI 53058																
Cmte. Type: S (SENATE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER																
Form Tp	Rpt Tp	AI	Recpt Dt	Pgs	Begin Img#	Beg Cvg Dt	End Cvg Dt	Ltr Mail Dt	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans	
MS-T			1/26/2012	4	12020153484	7/1/2011	9/30/2011									
RQ1		N	9/13/2011	2	11330013534			9/13/2011								
RQ2	Q3	N	1/17/2012	4	12330000532	7/1/2011	9/30/2011	1/17/2012								
RQ2	Q3	A	5/30/2012	2	12330007270	7/1/2011	9/30/2011	5/30/2012								
RQ2	YE	A	5/30/2012	2	12330007272	10/1/2011	12/31/2011	5/30/2012								
RQ2	Q2	N	9/28/2012	7	12330013493	4/1/2012	6/30/2012	9/28/2012								
RQ2	12P	A	5/17/2013	3	13330031960	7/1/2012	7/25/2012	5/17/2013								
RQ2	Q3	N	5/17/2013	4	13330031963	7/29/2012	9/30/2012	5/17/2013								
RQ2	YE	N	5/21/2013	7	13330032125	10/1/2012	12/31/2012	5/21/2013								
F3	Q3	N	10/14/2011	109	11020392372	7/1/2011	9/30/2011		\$0	\$307,758	\$25,588	\$282,169				\$0
F3	Q3	A	2/28/2012	110	12020182137	7/1/2011	9/30/2011		\$0	\$307,758	\$28,112	\$279,645				\$0
F3	Q3	A	5/7/2012	109	12020352946	7/1/2011	9/30/2011		\$0	\$307,758	\$28,112	\$279,645				\$0
F3	Q3	A	6/25/2012	7	12020410632	7/1/2011	9/30/2011		\$0	\$307,758	\$28,112	\$279,645				\$0
F3	YE	N	1/31/2012	248	12020073299	10/1/2011	12/31/2011		\$282,169	\$518,107	\$248,252	\$552,024				\$0
F3	YE	A	3/12/2012	268	12020182763	10/1/2011	12/31/2011		\$282,169	\$518,107	\$253,218	\$547,058				\$0
F3	YE	A	5/7/2012	269	12020353055	10/1/2011	12/31/2011		\$282,169	\$518,107	\$253,218	\$547,058				\$0
F3	YE	A	6/25/2012	8	12020410639	10/1/2011	12/31/2011		\$282,169	\$518,107	\$253,218	\$547,058				\$0
F3	Q1	N	4/13/2012	434	12020244120	1/1/2012	3/31/2012		\$552,024	\$654,541	\$409,656	\$796,908				\$0
F3	Q1	A	5/7/2012	434	12020352512	1/1/2012	3/31/2012		\$552,024	\$654,541	\$409,656	\$796,908				\$0
F3	Q1	A	6/25/2012	7	12020410625	1/1/2012	3/31/2012		\$552,024	\$654,541	\$409,656	\$796,908				\$0
F3	Q2	N	7/13/2012	696	12020454097	4/1/2012	6/30/2012		\$796,908	\$733,449	\$740,825	\$789,532				\$0

ATTACHMENT 1  
Page 13 of 36

Form	Rpt	AI	Recpt Dt	Pgs	Begin	End	Tr Mail	Begin Cash	Recpts	Disb	End Cash	Debts	Loans	Debts & Loans
F3	12P	N	8/2/2012	204	12020812770	7/1/2012	7/25/2012	\$789,532	\$520,097	\$1,111,395	\$198,235	-	-	\$235,000
F3	12P	A	8/15/2012	68	12020821557	7/1/2012	7/25/2012	\$789,532	\$520,097	\$1,111,395	\$198,235	-	-	\$235,000
F3	Q3	N	10/15/2012	926	12020794030	7/26/2012	8/30/2012	\$198,235	\$1,047,039	\$1,238,151	\$7,123	\$330,224	\$250,000	\$580,224
F3	YE	N	1/31/2013	149	13020160363	10/1/2012	12/31/2012	\$7,123	\$59,252	\$59,060	\$7,315	\$298,454	\$250,000	\$548,454
F1	A		1/1/2012	11	12020032997			-	-	-	-	-	-	-
F1	A		1/31/2012	11	12020074018			-	-	-	-	-	-	-
F6	N		7/30/2012	3	12020810405			-	-	-	-	-	-	-
F6	N		7/30/2012	4	12020504374			-	-	-	-	-	-	-
F6	N		8/6/2012	3	12020814696			-	-	-	-	-	-	-
F6	N		8/2/2012	3	12020811979			-	-	-	-	-	-	-
F6	N		8/6/2012	6	12020513992			-	-	-	-	-	-	-
F6	N		8/13/2012	4	12020620766			-	-	-	-	-	-	-
F6	N		8/9/2012	4	12020620015			-	-	-	-	-	-	-
F6	N		8/10/2012	4	12020520605			-	-	-	-	-	-	-
F1	N		8/30/2011	6	11020332133			-	-	-	-	-	-	-
F2	N		8/30/2011	3	11020332130			-	-	-	-	-	-	-
F1	A		10/14/2011	7	11020401566			-	-	-	-	-	-	-
F1	A		10/18/2011	8	11020444560			-	-	-	-	-	-	-
F1	A		11/30/2011	9	11020473581			-	-	-	-	-	-	-
<b>Totals</b>								\$3,840,243	\$3,840,418					

O-Index (2009-2010)  
 Cmte. ID: C00561361 Cmte. Name: FRIENDS OF MARK NEUMANN INC  
 Treasurer Name: JACK VOIGHT Address: W330N6233 HASSLINGER DRIVE, NASHOTAH, WI 53058  
 Cmte. Type: S (SENATE) Cmte. Designation: P (PRINCIPAL CAMPAIGN COMMITTEE OF A CANDIDATE) Filing Frequency: QUARTERLY FILER

Form	Tr	Rpt	AI	Recpt	Dt	Pgs	Begin	End	Tr Mail	Begin	Recpts	Disb	End	Debts	Loans	Debts & Loans
No records were found for this cycle.																

ATTACHMENT 1  
 Page 14 of 36



RECEIVED  
SECRETARY OF THE SENATE  
PUBLIC RECORDS  
FEB 12 AM 11:14  
Friends of Mark Neumann, Inc.  
W330 N6233 Hasslinger Drive  
Nashotah, WI 53058  
262.966.1001

January 31, 2014

Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington, DC 20510

To Whom It May Concern,

I am writing on behalf of Friends of Mark Neumann committee. We have reached a point where we would like to respectfully request to be allowed to close the committee.

Previously the candidate, Mark Neumann, forgave a large amount of debt to this campaign understanding he would not be able to raise the funds necessary to pay this money back.

We have reached a point where there is still \$279,073 in outstanding debt with no way to raise the money to repay this debt. The vendors understand we are asking to close this committee and that they will not be paid if the committee is closed. They do not object as they know we have done everything in our power to raise the money.

After we lost the primary in August of 2012 we did work extensively for about 6-8 months with the companies that are owed to raise money. We feel at this point, a year and a half later, that we have exhausted all avenues available to us.

Thank you,



Kelly O'Donnell  
Co-Treasurer

Encl.

14020151359

ATTACHMENT 1  
Page 15 of 30

# DEBT SETTLEMENT PLAN

RECEIVED  
SECRETARY OF THE SENATE  
PUBLIC RECORDS  
14 FEB 12 AM 11:13

NAME OF COMMITTEE <i>Friends of Mark Neumann, Inc.</i>	FEC I.D. NUMBER <i>C00501361</i>
ADDRESS <i>W330 N6233 Hasslinger Dr.</i>	
CITY, STATE AND ZIP CODE <i>Nashotah, WI 53058</i>	

**PART I - COMMITTEE SUMMARY INFORMATION**

1. CASH ON HAND AS OF <i>1-31-14</i>	<i>328.71</i>	6. TOTAL AMOUNT OF DEBTS OWED BY THE COMMITTEE	<i>279,073.71</i>
2. TOTAL ASSETS TO BE LIQUIDATED	<i>0</i>	7. TOTAL NUMBER OF CREDITORS OWED	<i>5</i>
3. TOTAL (ADD 1 AND 2)	<i>328.71</i>	8. NUMBER OF CREDITORS IN PART II OF THIS PLAN	<i>5</i>
4. YEAR TO DATE RECEIPTS	<i>0</i>	9. TOTAL AMOUNT OF DEBTS OWED TO THE CREDITORS IN PART II OF THIS PLAN	<i>279,073.71</i>
5. YEAR TO DATE DISBURSEMENTS	<i>0</i>	10. TOTAL AMOUNT TO BE PAID TO CREDITORS IN PART II OF THIS PLAN	<i>328.71</i>

11. IS THE COMMITTEE TERMINATING ITS ACTIVITIES?  
 YES  NO IF YES, WHEN DOES THE COMMITTEE EXPECT TO FILE A TERMINATION REPORT? IF NO, COMMITTEE IS NOT ELIGIBLE TO FILE A DEBT SETTLEMENT PLAN (SEE INSTRUCTIONS).  
*As soon as possible*

12. IF THIS IS AN AUTHORIZED COMMITTEE, DOES THE CANDIDATE HAVE OTHER AUTHORIZED COMMITTEES?  
 YES  NO IF YES, LIST BELOW.

13. DOES THE COMMITTEE HAVE SUFFICIENT FUNDS TO PAY THE TOTAL AMOUNT INDICATED IN THIS PLAN?  
 YES  NO IF NO, WHAT STEPS WILL BE TAKEN TO OBTAIN THE FUNDS?  
*See attached letter*

14. HAS THE COMMITTEE FILED PREVIOUS DEBT SETTLEMENT PLANS?  
 YES  NO

15. AFTER DISPOSING OF ALL THE COMMITTEE'S DEBTS AND OBLIGATIONS, WILL THERE BE ANY RESIDUAL FUNDS?  
 YES  NO IF YES, HOW WILL THE FUNDS BE DISBURSED?

*I certify, to the best of my knowledge, that the information contained in this Debt Settlement Plan is true, correct and complete.*

SIGNATURE OF TREASURER OF COMMITTEE *Kelly O'Donnell* DATE *1-31-14*

FE1AN061.PDF

ATTACHMENT  
Page *16* of *36*

**FEC FORM 8**  
(Revised 1/2001)

14020151360

# DEBT SETTLEMENT PLAN

PART II

NAME OF COMMITTEE <u>Friends of Mark Neuman, Inc.</u>	FEC I.D. NUMBER <u>C00501361</u>	PAGE <u>2</u>	OF <u>3</u>
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### CREDITOR SUMMARY INFORMATION (FILL OUT FOR EACH CREDITOR IN PLAN)

FULL NAME AND MAILING ADDRESS OF CREDITOR	DATE INCURRED	AMOUNT OWED TO CREDITOR	AMOUNT OFFERED IN SETTLEMENT
<u>See attached letter</u>			

TYPE OF CREDITOR:

INCORPORATED COMMERCIAL VENDOR     UNINCORPORATED COMMERCIAL VENDOR     CANDIDATE     COMMITTEE EMPLOYEE     OTHER INDIVIDUAL

A. TERMS OF THE INITIAL EXTENSION OF CREDIT AND NATURE OF THE DEBT

B. EFFORTS MADE BY THE COMMITTEE TO PAY THE DEBT

C. STEPS TAKEN BY THE CREDITOR TO COLLECT THE DEBT

### CREDITOR SECTION (TO BE FILLED OUT BY CREDITOR)

D. WAS THE EFFORT MADE BY THE CREDITOR TO COLLECT THE DEBT SIMILAR TO OTHER DEBT COLLECTION EFFORTS AGAINST NONPOLITICAL DEBTORS?

YES     NO    IF NO, PLEASE EXPLAIN

E. ARE THE TERMS OF THE DEBT SETTLEMENT COMPARABLE TO OTHER SETTLEMENTS MADE BY THE CREDITOR WITH OTHER NONPOLITICAL DEBTORS?

YES     NO    IF NO, PLEASE EXPLAIN

As a representative of the creditor, I hereby accept the settlement offer made to me by the committee and upon payment agree to consider the debt satisfied (or attach a copy of the signed settlement).

SIGNATURE OF CREDITOR OR REPRESENTATIVE >

ATTACHMENT 1  
Page 17 of 36

DATE

14020151361

# DEBT SETTLEMENT PLAN

PART III

NAME OF COMMITTEE <b>Friends of Mark Neumann, Inc</b>	FEC I.D. NUMBER <b>C00501361</b>	PAGE <b>3</b>	OF <b>3</b>
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## LIST REMAINING DEBTS

A. FULL NAME, MAILING ADDRESS AND ZIP CODE OF CREDITOR	AMOUNT OWED TO CREDITOR	AMOUNT EXPECTED TO PAY/OFFER
<b>Base Connect, Inc 1155 15th St NW St 410 Washington DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>172,281.62</b>	<b>Ø</b>
<b>Century Data Systems Corp 1155 15th St NW St. 410 Washington DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>22,480.72</b>	<b>Ø</b>
<b>Consolidated Mailing Services 504 Shaw Rd Suite 206 Sterling, VA 20166</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>54,408.48</b>	<b>Ø</b>
<b>Legacy Lists, Inc. Brokerage 1155 15th St NW St 410 Washington DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>61,603.24</b>	<b>Ø</b>
<b>Legacy Lists, Management 1155 15th St NW St 410 Washington, DC 20005</b>  TYPE OF CREDITOR: <input checked="" type="checkbox"/> INCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> UNINCORPORATED COMMERCIAL VENDOR <input type="checkbox"/> CANDIDATE <input type="checkbox"/> COMMITTEE EMPLOYEE <input type="checkbox"/> OTHER INDIVIDUAL  IS THIS A DISPUTED DEBT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<b>18,299.15</b>	<b>Ø</b>

DOES THE COMMITTEE HAVE SUFFICIENT FUNDS TO PAY THE REMAINING AMOUNTS TO BE PAID OR OFFERED?

YES  NO IF NO, WHAT STEPS WILL BE TAKEN TO OBTAIN THE FUNDS?

*See attached letter.*

ATTACHMENT 1  
Page 18 of 36

14020151362



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

MS-B

JACK VOIGHT, TREASURER  
FRIENDS OF MARK NEUMANN INC  
W330N6233 HASSLINGER DRIVE  
NASHOTAH, WI 53058

IDENTIFICATION NUMBER: C00501361

REFERENCE: DEBT SETTLEMENT PLAN

Dear Treasurer:

We are in receipt of your debt settlement plan. The Commission is in the process of reviewing the plan to ensure that it complies with the requirements of the Federal Election Campaign Act (the Act) and Commission Regulations governing debt settlements (11 CFR Part 116).

Until you are notified by the Commission that the debt settlement plan complies with the Act and Regulations, you should continue to file all required reports and continue to report all debts including debts involved in the debt settlement plan (11 CFR § 104.11).

If you have any questions regarding this matter, please do not hesitate to contact me in our Reports Analysis Division on the toll free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division). My local number is (202) 694-1146.

Sincerely,

Christopher Ritchie  
Sr. Campaign Finance & Reviewing Analyst  
Reports Analysis Division

638

ATTACHMENT 1  
Page 22 of 36

FEC FORM 3

REPORT OF RECEIPTS AND DISBURSEMENTS For An Authorized Committee

RECEIVED SECRETARY OF THE SENATE OFFICE OF PUBLIC INFORMATION 14 APR -7 PM 12:03 Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5

Friends of Mark Neumann, LLC

ADDRESS (number and street) W330 N6233 Hasslinger Drive

Check if different than previously reported. (ACC) Washotah WI 53058

2. FEC IDENTIFICATION NUMBER CITY STATE ZIP CODE STATE DISTRICT

C00501361

3. IS THIS REPORT NEW (N) OR AMENDED (A)

- 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q1) July 15 Quarterly Report (Q2) October 15 Quarterly Report (Q3) January 31 Year-End Report (YE) Termination Report (TER)

- (b) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S)

Election on MM/DD/YYYY in the State of

- (c) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S)

Election on MM/DD/YYYY in the State of

5. Covering Period 01 07 2014 through 03 31 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Kelly O'Donnell

Signature of Treasurer Kelly O'Donnell Date 04 02 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3 (Revised 02/2003)

14020174728

ATTACHMENT 1 Page 23 of 36

Write or Type Committee Name

Friends of Mark Neumann, Inc.

Report Covering the Period: From: 07 01 2014 To: 03 31 2014

	COLUMN A This Period	COLUMN B Election Cycle-to-Date
6. Net Contributions (other than loans)		
(a) Total Contributions (other than loans) (from Line 11(e)) ..	<u>00</u>	<u>56,773.54</u>
(b) Total Contribution Refunds (from Line 20(d)) ..	<u>00</u>	<u>00</u>
(c) Net Contributions (other than loans) (subtract Line 6(b) from Line 6(a)) ...	<u>00</u>	<u>56,773.54</u>
7. Net Operating Expenditures		
(a) Total Operating Expenditures (from Line 17) ..	<u>00</u>	<u>55,181.21</u>
(b) Total Offsets to Operating Expenditures (from Line 14)...	<u>00</u>	<u>00</u>
(c) Net Operating Expenditures (subtract Line 7(b) from Line 7(a))...	<u>00</u>	<u>55,181.21</u>
8. Cash on Hand at Close of Reporting Period (from Line 27)...	<u>32,871</u>	
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)...	<u>00</u>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)...	<u>279,073.91</u>	

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**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

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ATTACHMENT 1  
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DETAILED SUMMARY PAGE

FEC Form 3 (Revised 12/2003)

of Receipts

Page 3

Write or Type Committee Name

Friends of Mark Neumann, Inc.

Report Covering the Period:

From:

01 ' 01 ' 2014

To:

03 ' 31 ' 2014

I. RECEIPTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
<b>11. CONTRIBUTIONS (other than loans) FROM:</b>		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)...	0.0	274,885.4
(ii) Unitemized.....	0.0	210,350.0
(iii) TOTAL of contributions from individuals .	0.0	485,235.4
(b) Political Party Committees...	0.0	0.0
(c) Other Political Committees (such as PACs)...	0.0	0.0
(d) The Candidate .....	0.0	675.00
(e) TOTAL CONTRIBUTIONS (other than loans) (add Lines 11(a)(ii), (b), (c), and (d))..	0.0	55,273.54
<b>12. TRANSFERS FROM OTHER AUTHORIZED COMMITTEES ..</b>	0.0	0.0
<b>13. LOANS:</b>		
(a) Made or Guaranteed by the Candidate...	0.0	0.0
(b) All Other Loans...	0.0	0.0
(c) TOTAL LOANS (add Lines 13(a) and (b))...	0.0	0.0
<b>14. OFFSETS TO OPERATING EXPENDITURES (Refunds, Rebates, etc.)..</b>	0.0	1,500.00
<b>15. OTHER RECEIPTS (Dividends, Interest, etc.).....</b>	0.0	0.0
<b>16. TOTAL RECEIPTS (add Lines 11(e), 12, 13(c), 14, and 15) (Carry Total to Line 24, page 4)...</b>	0.0	56,773.54

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ATTACHMENT 1

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II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Election Cycle-to-Date
17. OPERATING EXPENDITURES...	0.0	56,681.21
18. TRANSFERS TO OTHER AUTHORIZED COMMITTEES ..	0.0	0.0
19. LOAN REPAYMENTS:		
(a) Of Loans Made or Guaranteed by the Candidate...	0.0	0.0
(b) Of All Other Loans .....	0.0	0.0
(c) TOTAL LOAN REPAYMENTS (add Lines 19(a) and (b))...	0.0	0.0
20. REFUNDS OF CONTRIBUTIONS TO:		
(a) Individuals/Persons Other Than Political Committees ...	0.0	0.0
(b) Political Party Committees...	0.0	0.0
(c) Other Political Committees (such as PACs) ..	0.0	0.0
(d) TOTAL CONTRIBUTION REFUNDS (add Lines 20(a), (b), and (c))...	0.0	0.0
21. OTHER DISBURSEMENTS ...	0.0	0.0
22. TOTAL DISBURSEMENTS (add Lines 17, 18, 19(c), 20(d), and 21) ▶	0.0	56,681.21

**III. CASH SUMMARY**

23. CASH ON HAND AT BEGINNING OF REPORTING PERIOD...	328.71
24. TOTAL RECEIPTS THIS PERIOD (from Line 16, page 3)...	0.0
25. SUBTOTAL (add Line 23 and Line 24)...	328.71
26. TOTAL DISBURSEMENTS THIS PERIOD (from Line 22)...	0.0
27. CASH ON HAND AT CLOSE OF REPORTING PERIOD (subtract Line 26 from Line 25)...	328.71

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ATTACHMENT 1  
Page 26 of 36

**SCHEDULE D (FEC Form 3)**

**DEBTS AND OBLIGATIONS**

**Excluding Loans**

(Use separate schedule(s) for each numbered line)	PAGE <u>5</u> OF <u>6</u>
	FOR LINE NUMBER: (check only one) <input type="checkbox"/> 9 <input checked="" type="checkbox"/> 10

NAME OF COMMITTEE (In Full)  
*Friends of Mark Neumann, Inc.*

A. Full Name (Last, First, Middle Initial) of Debtor or Creditor <i>Base Connect Inc.</i>	Nature of Debt (Purpose): <i>Fundraising Consulting</i>
Mailing Address <i>1155 15th St NW Suite 410</i>	
City State Zip Code <i>Washington DC 20005</i>	

Outstanding Balance Beginning This Period <i>122,281.62</i>	Amount Incurred This Period <i>0.0</i>	Payment This Period <i>0.0</i>	Outstanding Balance at Close of This Period <i>122,281.62</i>
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B. Full Name (Last, First, Middle Initial) of Debtor or Creditor <i>Century Data Systems Corp.</i>	Nature of Debt (Purpose): <i>Fundraising</i>
Mailing Address <i>1155 15th St. NW Suite 410</i>	
City State Zip Code <i>Washington, DC 20005</i>	

Outstanding Balance Beginning This Period <i>22,480.72</i>	Amount Incurred This Period <i>0.0</i>	Payment This Period <i>0.0</i>	Outstanding Balance at Close of This Period <i>22,480.72</i>
---	---	-----------------------------------	---

C. Full Name (Last, First, Middle Initial) of Debtor or Creditor <i>Consolidated Mailing Service</i>	Nature of Debt (Purpose): <i>Postage</i>
Mailing Address <i>504 Shaw Rd. Suite 206</i>	
City State Zip Code <i>Sterling VA 21066</i>	

Outstanding Balance Beginning This Period <i>54,408.48</i>	Amount Incurred This Period <i>0.0</i>	Payment This Period <i>0.0</i>	Outstanding Balance at Close of This Period <i>54,408.48</i>
---	---	-----------------------------------	---

1) SUBTOTALS This Period This Page (optional)...	<i>199,170.82</i>
2) TOTALS This Period (last page this line number only)...	
3) TOTAL OUTSTANDING LOANS from Schedule C (last page only)...	
4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only)...	

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ATTACHMENT 1  
 Page 27 of 36

**SCHEDULE D (FEC Form 3)**

**DEBTS AND OBLIGATIONS**

**Excluding Loans**

(Use separate schedule(s) for each numbered line) PAGE 6 OF 6  
 FOR LINE NUMBER: (check only one)  9  10

NAME OF COMMITTEE (In Full)  
Friends of Mark Neumann, Inc.

A. Full Name (Last, First, Middle Initial) of Debtor or Creditor  
Legacy Lists, Inc Brokerage Nature of Debt (Purpose):  
Fundraising Consulting

Mailing Address  
1155 15th St NW Suite 410

City State Zip Code  
Washington DC 20005

Outstanding Balance Beginning This Period  
61,603.24

Amount Incurred This Period 00 Payment This Period 00 Outstanding Balance at Close of This Period  
61,603.24

B. Full Name (Last, First, Middle Initial) of Debtor or Creditor  
Legacy Lists, Inc Management Nature of Debt (Purpose):  
Fundraising Mailings

Mailing Address  
1155 15th St NW Suite 410

City State Zip Code  
Washington DC 20005

Outstanding Balance Beginning This Period  
18,299.65

Amount Incurred This Period 00 Payment This Period 00 Outstanding Balance at Close of This Period  
18,299.65

C. Full Name (Last, First, Middle Initial) of Debtor or Creditor  
 Nature of Debt (Purpose):

Mailing Address

City State Zip Code

Outstanding Balance Beginning This Period

Amount Incurred This Period Payment This Period Outstanding Balance at Close of This Period

1) SUBTOTALS This Period This Page (optional) ...	<u>79,902.89</u>
2) TOTALS This Period (last page this line number only) ...	<u>199,708.2</u>
3) TOTAL OUTSTANDING LOANS from Schedule C (last page only)...	<u>00</u>
4) ADD 2) and 3) and carry forward to appropriate line of Summary Page (last page only)	<u>279,073.71</u>

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ATTACHMENT 1  
 Page 28 of 36

ATTACHMENT 1  
Page 36 of 36

Mark W. Neumann

RECEIVED  
FEDERAL ELECTION  
COMMISSION

2014 JUL 21 PM 3:03

OFFICE OF GENERAL  
COUNSEL

July 14, 2014

Mr. Joshua Blume  
Attorney Office of the General  
Counsel/Compliance Advice  
Federal Election Commission  
Washington, DC 20463

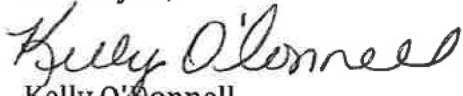
Re: Friends of Mark Neumann, Inc. Debt Settlement

Dear Mr. Blume

Per your suggestion in our June 26, 2014 phone conversation, we reached out to the five creditors that we still have outstanding debt owed. I received a written response from Mr. Michael Centanni that can be used by all five creditors listed for items 2, 3 and 4 of your letter dated June 20, 2014. I have enclosed this letter for your review. I am also enclosing our responses to numbers 1 and 5 of your letter.

We hope these responses are what you and the committee are looking for to close our committee. Please contact us with any further questions.

Thank you,



Kelly O'Donnell  
Co-Treasurer, Friends of Mark Neumann, Inc.

Enclosures

ATTACHMENT 2  
Page 1 of 3

Paid for by Friends of Mark Neumann, Inc.

██████████ ██████████ ██████████ ██████████  
Paid for by Friends of Mark Neumann, Inc. Jack Voight, Treasurer

To: Joshua Blume, FEC Attorney

From: Mark W. Neumann, Candidate

RE: Letter from FEC dated 6/20/16

Date: 7/14/14

Item #1: There were several conversations after the primary to decide what steps were going to be taken to continue to collect donations to pay down the debt. Those conversations diminished in time as the donations were dwindling and money to pay down debt had halted.

Item #5: When we are allowed to close the account we will pay all remaining cash on hand dollars to Legacy Lists Inc. Management.

For responses to 2, 3 and 4 of the letter, see attached page from Michael Centanni.

ATTACHMENT 2  
Page 2 of 3

Paid for by Friends of Mark Neumann, Inc.

██████████ ██████████ ██████████ ██████████  
Paid for by Friends of Mark Neumann, Inc. Jack Voight, Treasurer

TO: Kelly O'Donnell

FR: Michael Centanni

RE: Your letter of 6/26/2014

DT: 7/8/2014

These answers can be used for all five of the creditors listed by the FEC

Item #2 Creditor departed from its customary settlement practice with respect to its nonpolitical debtors because nonpolitical debtors usually have some sort of assets and or income from which to obtain some sort of payment. The political committee in question has neither assets nor income. There is no means of obtaining payment from committee.

Item #3 Committee's payment was due within 90 days of creditor's issuance of invoice.

Item #4 The initial extension of credit was in accord with creditor's past practice and established procedures. The creditors had extended credit for previous work done for the Committee. Terms of credit were in accord with the usual and normal practice in creditor's industry.

ATTACHMENT 2  
Page 3 of 3



# Consolidated Mailing Services, Inc.

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TO: Kelly O'Donnell

FR: Larry Patrick

RE: Your letter of 6/26/2014

DT: 8/6/2014

These answers can be used for all five of the creditors listed by the FEC

Item #2 Creditor departed from its customary settlement practice with respect to its nonpolitical debtors because nonpolitical debtors usually have some sort of assets and or income from which to obtain some sort of payment. The political committee in question has neither assets nor income. There is no means of obtaining payment from committee.

Item #3 Committee's payment was due within 90 days of creditor's issuance of invoice.

Item #4 The initial extension of credit was in accord with creditor's past practice and established procedures. The creditors had extended credit for previous work done for the Committee. Terms of credit were in accord with the usual and normal practice in creditor's industry.

ATTACHMENT 3  
Page 1 of 3

504 Shaw Rd. Suite 208 Sterling, VA 20166 Tel: (703) 904-1600 Fax: (703) 904- 9183  
Email : Cmail2@aol.com